

**S P E C I F I C A T I O N**

**ATTORNEY DOCKET NO. 0317MH-34834CIP**

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN that I, **DANIEL A. HENDERSON**, a citizen of the United States of America, residing in **Hudson Oaks, Texas**, and has invented new and useful improvements in an

**ENHANCED CALL-WAITING WITH CALLER IDENTIFICATION  
METHOD AND APPARATUS USING NOTCH FILTERS**

of which the following is a specification:

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Date **Jan. 15, 2002** Signature **Elisabeth Hill**

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1                   **CROSS REFERENCE TO RELATED APPLICATION**

2           This application is a continuation-in-part of U.S. Patent application,  
3   Serial No. **08/963,689**, filed **4 November 1997**, entitled "*ENHANCED*  
4   *CALL-WAITING WITH CALLER IDENTIFICATION METHOD AND*  
5   *APPARATUS*", now issued United States Patent No. **6,339,639**, issued  
6   **15 January 2002**, which is a continuation of U.S. Patent Application  
7   Serial No. **08/832,945**, filed **4 April 1997**, entitled "*ENHANCED CALL-*  
8   *WAITING WITH CALLER IDENTIFICATION METHOD AND APPARATUS*"  
9   which is incorporated herein by reference as if fully set forth herein.

10  
11                   **BACKGROUND OF THE INVENTION**

12  
13           The invention relates generally to telephone subscriber services and  
14   more particularly to improved call-waiting with caller identification services  
15   and apparatus.

16  
17                   **DESCRIPTION OF THE PRIOR ART**

18  
19           The call-waiting with caller identification telephony feature is  
20   becoming more popular as a service offered by many telephone operating  
21   companies.

22           Several early versions of call waiting services are discussed in U.S.  
23   Patent 3,133,995 issued to Zarouni, U.S. Patent 3,963,874 issued to  
24   Pommerening et al., U.S. Patent 3,997,731 issued to George et al., U.S.  
25   Patent 3,584,156 issued to Beth, and U. S. Patent 4,661,975 issued to  
26   Brecher. Other systems are described in U.S. Patent 4,873,719 issued  
27   to Reese and U.S. Patent 5,583,924 issued to Lewis.



1 Even momentary interruptions in a voice conversation have been  
2 known to cause miscommunication between communicants, as in the  
3 case where telephone number or credit card number data is being  
4 exchanged between the first and second communicant. In the case of  
5 long distance communication between the first and second party,  
6 unnecessary charges are incurred for a telephone call so long as the  
7 parties are connected, even if they are not able to speak to each other.  
8 Therefore, it is desirable to minimize the amount of time that  
9 communication is interrupted by unnecessary muting of the handset.

10 Although muting can have benefits in preventing communicants  
11 from hearing the noise associated with FSK data communication, it may  
12 result in wasted time and expense for communicants already engaged in  
13 a conversation who are unable to efficiently communicate during the  
14 muted period.

15 What is needed is an improved method and apparatus that  
16 minimizes the amount of time that the handset is muted for a first  
17 communicant, while allowing data transmission to occur that identifies a  
18 third party. Conventional call waiting systems initiate a second party  
19 mute condition at the time a call waiting signal is sent. However,  
20 dependent upon changes that could easily be implemented at the local  
21 switching office affecting the timing of the second party mute condition  
22 created by the local switching office, a second party mute condition  
23 could also be modified to take advantage of the invention herein so that  
24 a first and second communicant would have more time available to  
25 continue their conversation and exchange information. Even in the case  
26 where no changes were made at the local switching office to reduce the  
27 mute period for the second party, the invention described hereinafter is

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- 1 compatible in conventional call waiting systems but could be used in
- 2 future call waiting systems to minimize the mute condition of the second
- 3 party as well.

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**SUMMARY OF THE INVENTION**

1

2       It is one object of the invention to allow for an improved caller  
3 identification with call waiting system and apparatus that is compatible  
4 with current caller identification services while providing for future  
5 services that could be implemented.

6       It is another object of this invention to provide an improved  
7 method and apparatus of providing identification information related to a  
8 calling third party to a first party already engaged in conversation with a  
9 second party.

10       It is another object of this invention to provide to a first party  
11 already engaged in a telephone conversation with a second party an  
12 improved apparatus which minimizes the amount of time its' associated  
13 handset is muted to allow for transmission and receipt of identification  
14 information related to a calling third party.

15       It is another object of the invention to provide to a first party  
16 already engaged in a telephone conversation with a second party an  
17 improved apparatus which mutes its' associated handset in response to  
18 receiving a predetermined time period of mark information in an FSK  
19 multiple data message representative of identification information related  
20 to a calling third party, and then terminates the mute condition in the  
21 handset in response to the absence or end of data transmission from a  
22 switching office or upon the expiration of a predetermined time period.

23       It is still yet another object of the invention to provide to a first  
24 party already engaged in a telephone conversation with a second party  
25 an improved method and apparatus which mutes its associated handset

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1 during or immediately after generating and transmitting an  
2 acknowledgement tone to the switching office, and then terminating the  
3 mute condition in the handset after a predetermined period of time or in  
4 response to receiving an alerting tone or end of message signal from a  
5 local switching office.

6 It is another object of the invention to provide an improved method  
7 and apparatus for muting the handset in an apparatus in response to  
8 reception of particular data initiated by detection of a start signal word or  
9 start bit or pause in an FSK data message from a switching office after a  
10 call waiting signal and an acknowledgement signal have been exchanged  
11 between the apparatus and the switching office. Further, the mute  
12 condition in a handset of the apparatus is terminated in response to a  
13 stop bit, stop word, pause, interruption or end of message signal  
14 received from the switching office at the apparatus, or alternately, upon  
15 the expiration of a predetermined time period after initiation of the mute  
16 condition.

17 It is another object of the invention to provide an improved method  
18 and apparatus for muting the handset in an apparatus within a  
19 predetermined period of time after an acknowledgement signal has been  
20 started or sent from an apparatus to the switching office, and for  
21 terminating a mute condition in a handset of the apparatus in response to  
22 the elapse of a predetermined period of time after the initiation of the  
23 mute condition or alternately, in response to receipt of a stop bit , stop  
24 signal word, pause, interruption, or end-of-message signal in the FSK  
25 data message.

26 It is another object of the invention to provide an improved method

1 and apparatus for muting the handset in an apparatus in response to the  
2 occurrence of a carrier signal detection after a call waiting signal and an  
3 acknowledgement signal have been exchanged between the apparatus  
4 and the switching office and for the terminating the mute condition in a  
5 handset of an apparatus in response to the absence of a carrier detect  
6 signal by the apparatus for more than a predetermined period of time.

7 It is still another object of the invention to provide an improved  
8 call-waiting with caller identification method and apparatus that  
9 generates an message received signal back to a local switching office  
10 which may be used by the local switching office to terminate a second  
11 party mute condition, or for other purposes.

12 It is yet another object of the invention to provide an improved  
13 call-waiting with caller identification method and apparatus that  
14 compares caller identifying data received against pre-stored data in an  
15 apparatus and displays associated data records such as address, city,  
16 state or other textual or image information along with, or in place of the  
17 caller identification data.

18 It is a further object of the invention to provide an improved  
19 apparatus which is capable of receiving, displaying and storing at a busy  
20 telephone station of a first party the caller identifying data of a third  
21 party while the first party is engaged in conversation with a second  
22 party.

23 It is yet another object of the invention to store caller identifying  
24 data received after a call waiting tone in a memory of an apparatus and  
25 to then announce the data received in human audible form.



1           These and other objects and advantages will become apparent  
2 upon review of the detailed specification hereinafter.

3           It is still yet another object of the invention to provide to a first  
4 party already engaged in a telephone conversation with a second party  
5 an improved method and apparatus which utilizes a notch filter to filter  
6 predetermined ACK frequencies from the handset of the first part CPE at  
7 least during the ACK signal generation, thereby eliminating the  
8 annoyance to the called party from hearing the ACK signal.

9

10

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**BRIEF DESCRIPTION OF THE DRAWINGS**

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of several illustrative embodiments when read in conjunction with the accompanying drawings, wherein:

**FIGURE 1** is a block schematic diagram of a telephone subscriber set in accordance with the invention; and

**FIGURE 2a** is timing diagram illustrating signal timing of some of the embodiments shown in Figures 2b - 2e;

**FIGURE 2b** is a flow chart describing one embodiment of the invention;

**FIGURE 2c** is yet another flow chart describing a different embodiment of the invention;

**FIGURE 2d** is a flow chart describing yet another different embodiment of the invention discussing the comparison of DN data received in a call waiting condition against pre-stored data to display at least one of the DN data and the associated data record;

**FIGURE 2e** is a flow chart describing another embodiment of the invention discussing the conversion of DN data received in a call waiting

1 condition into audible speech signals;

2

3 **FIGURE 3** is a timing diagram illustrating signal timing of certain  
4 embodiments utilizing a frequency notch filter approach;

5

6 **FIGURE 4** is an illustration of the frequency domain that is  
7 demonstrative of a frequency notch filter approach for certain embodiments;

8

9 **FIGURE 5** is a block diagram of a preferred embodiment utilizing a  
10 frequency notch filter approach;

11

12 **FIGURE 6** is a flow diagram showing operation of a preferred  
13 embodiment utilizing a frequency notch filter approach;

14

15 **FIGURE 7** is a depiction of a notch filter.

16

17 **FIGURE 8** is a circuit diagram for an exemplary notch filter.

18

19

1                   **DETAILED DESCRIPTION OF THE INVENTION**

2

3           Figure 1 is a block diagram of at least a portion of an apparatus

4   (CPE) necessary to implement the invention. It is recognized that the

5   CPE adapted to implement the inventions herein could comprise a

6   conventional telephone set with integral circuitry, an adjunct call waiting

7   with caller identification display device, a personal computer with a

8   modem, or other devices. It is further recognized that some elements

9   shown in the block diagram are not required for some embodiments of

10   the invention whereas those elements would be included in other

11   embodiments of the invention described in this specification.

12

13           Incorporated herein by reference is the signaling method, format

14   and definition of the messages and parameters as may be utilized in the

15   invention, entitled Calling Identity Delivery On Call-Waiting, TR-NWT-

16   000575. Further reference may be made to Caller Identification With

17   Call Waiting: Request For Information From Customer Premises

18   Equipment Suppliers, RFI 91-03; SPCS Customer Premises Equipment

19   Data Interface, TR-TSY-000030, Bellcore, Issue 1, November 1988; Call

20   Waiting, LSSGR, Feature Specific Document (FSD) 01-02-1201, TR-TSY-

21   000522, Issue 2, July 1987, CLASS Calling Name Delivery and Related

22   Features Generic Requirements, TA-NWT-001188, Issue 1, Bellcore,

23   March 1991; and CLASS Feature: Calling Number Delivery, TR-TSY-

24   000031, Bellcore, Issue 3, January 1990;.

25

26           In Figure 1 is shown a block schematic diagram of at least a

27   portion of a telephone subscriber set (CPE) necessary to implement the

28   invention.

1 A CPE is coupled to a telephone local switching office (LSO) via a  
2 standard telephone connector such as the RJ-11-4 type connector,  
3 using pins 3 and 4 coupled to the ring and tip lines of the local switching  
4 office (not shown). A line protection circuit (not shown) comprising a  
5 varistor and fuse is used to protect against over voltage and over current  
6 conditions on the ring and tip lines as is well known in the art. A ring  
7 detect circuit (also not shown) may provide alerting signals to a first  
8 party that a call has arrived, also well known in the art. The telephone  
9 line and line protection circuitry is connected to a 2 wire / 4 wire hybrid  
10 circuit 12 along with a hookswitch 13. The hybrid circuit 12 is also  
11 connected to a handset 14 comprising transmit and receive transducers  
12 15 and 16 respectively. Hybrid circuit 12 is connected to the  
13 transducers 15 and 16 via respective switches 17 and 18 as will be  
14 described below.

15  
16 The CPE also comprises a control circuit which may be a  
17 commercially available microprocessor or controller, a conventional  
18 keypad and DTMF (Dual-Tone Multi-frequency) generator 21, and an FSK  
19 (Frequency Shift Keying) demodulator circuit 22 that allows for data  
20 demodulation and for carrier detection to occur. The microprocessor 20  
21 is also connected to a commercially available display 23 which includes a  
22 register 24 and visual display unit 25 that may comprise one or more  
23 rows each having a plurality of cells 1 to n. Although not shown, display  
24 23 may further comprise a touch screen that allows for user input of  
25 dialing instructions, scrolling of received and stored caller identifying  
26 data, flash, and other functions that are associated with a conventional  
27 keypad.

28

1       The microprocessor 20 and the tip and ring leads are also  
2 connected to a parallel set detector circuit 26 and a dual tone detector  
3 circuit 27. The parallel set detector circuit 26 functions to provide an  
4 output signal that indicates whether or not the CPE of Figure 1 is  
5 connected with an off-hook extension set. The dual tone detector circuit  
6 27 detects the presence of a dual-tone signal such as a DTMF signal, or  
7 may be capable of detecting other non DTMF signals when appropriate  
8 or necessary.

9  
10       A ring detect circuit monitors the ring and tip lines and outputs a  
11 signal to microprocessor 20 when a ring signal is detected on the  
12 telephone line. The ring detect circuit is a conventional ring detector  
13 circuit that generates a ring signal via an optical isolator, which provides  
14 insulation between the CPE and the telephone line.

15  
16       The microprocessor 20 responds to signals from the ring detect  
17 circuit, the circuits 22,26,27, off-hook switch detector 33, hookswitch  
18 13, the keypad 21, and others to provide control signals to the muting  
19 switches 17 and 18, the DTMF generator 21 and the display 23.

20  
21       As one example of a preferred embodiment contemplated by this  
22 inventor, at least one of microprocessor 20, ACK signal generator, and  
23 DTMF generator 21 is responsive to a manual switch on keypad 21 or other  
24 separate dedicated switch for a "Do not Disturb" feature, which will now be  
25 briefly described that selectively prevents the call waiting cycle from  
26 occurring upon receipt of a call waiting signal.

27  
28       When a first party places to, or receives a telephone call from, a

second party, it may be desirable to not be disturbed by a call waiting cycle for that particular call. By manually selecting the "Do not Disturb" switch either before the placement of an outgoing call, prior to answering a first incoming call or during a current call with a second party, at least one of the ACK or DTMF signal generator, the detection of an extension off-hook condition, or microprocessor detection of the presence or absence of an off-hook condition prior to receipt of a call waiting signal is prevented, disabled or otherwise disregarded should a CAS or call waiting signal be received during conversation between the first and second party. By inhibition of an DTMF or ACK signal generation or of the microprocessor checking the status of an extension telephone off-hook condition, because of the selection of the "Do not disturb" switch, a mute condition cannot occur at the first party CPE. This is a great advantage in that there would not be an interruption of conversation between the first party and the second party at an inconvenient time. In some systems, if the LSO has not muted the second party from conversation with the first party until an ACK signal has been received by the first party CPE, the first party and second party would then be able to continue conversation with minimal interruption. This further illustrates the importance of the invention herein in which the mute condition is not created in response to receipt of a call waiting signal. As described in detail in some embodiments in this specification as an advance over the prior art, the mute condition is initiated in response to detecting that there is no extension off-hook and upon the generation of an ACK signal.

The FSK demodulator 22 includes means for carrier detection as shown in data path 31 to microprocessor 20 that is capable of

1 determining when an incoming data signal is present before actual  
2 receipt of the data portion corresponding to the DN of a third party. The  
3 FSK demodulator 22 also decodes FSK signals from the LSO and  
4 transfers data received via data path 32 to microprocessor 20, comprised  
5 of information such as the name, telephone number, date and time, and  
6 other information of the third party for storage, display, redial,  
7 annunciation or comparison.

8  
9       Keypad and DTMF generator 21 allows for control of the display of  
10 stored caller identification or other information, dial or redial instructions,  
11 ACK tone generation, selection of operating modes, and other functions.

12  
13       Dual-tone detector allows for detection of traditional call waiting  
14 signals from the LSO, and is capable of detecting both single and dual-  
15 tones as well as other out-of-band signaling that may have a  
16 predetermined duration and protocol as employed by the particular call  
17 waiting system.

18  
19       The microprocessor 20 in the CPE is aware of the off-hook status  
20 of the handset of the CPE as well as the off-hook status of any  
21 extension CPE's connected to the telephone line utilizing parallel set  
22 detector 26 and/or input from the off-hook switch detector 33 on the  
23 device side of hook switch 13.

24  
25       Reference is now made to Figure 2a for a description of the timing  
26 diagram which depicts various methods for muting of the handset  
27 according to the invention herein which will stand in contrast to the prior  
28 art.



1           Shown is a timing diagram which starts from left to right, with  
2 various time intervals identified as t 0 through t 9 which will now be  
3 described.

4           The discussion will first address the typical prior art timing as  
5 depicted in M5 and M6. The time interval t 0 is the time during which a  
6 third party call is received at the LSO and also is the time before a call  
7 waiting signal is delivered to an in-use line between a first and a second  
8 party. It is during this interval that, upon receiving a call request from a  
9 third party to a first party, the call processor of the LSO executes a call  
10 processing software program associated with a call waiting feature and  
11 transmits a predetermined dual-tone signal (call waiting tone) to the first  
12 party apparatus engaged in a conversation with a second party. In the  
13 case of the prior art, the dual tone call waiting signal is typically muted  
14 from the second party by the LSO prior to transmission of the call  
15 waiting tone to the first party as also shown in M5 of Figure 2a. This  
16 LSO controlled mute condition of the second party is initiated to allow for  
17 transmission of any caller identifying data of the third party to the first  
18 party apparatus without annoying the second party with audible sounds  
19 or transferring information from modem transmission, and lasts for a  
20 predetermined period of time. The transmission of the call waiting signal  
21 occurs as shown in time period t 1 and may last from 100 - 300  
22 milliseconds in duration and is typically comprised of a dual tone of 2130  
23 Hz and 2750 Hz transmitted on the telephone line to the first party CPE.

24           In response to receiving a call waiting signal from the LSO, the  
25 CPE mutes its' associated handset via a microprocessor for a  
26 predetermined period of time after the call waiting signal has been  
27 detected by a DTMF detector during t 1 as shown in M6. Then a

determination is made as to whether any extension telephone set is off-hook in t 2.

In the prior art systems, after a determination has been made by an off-hook detector that no extension telephone is off-hook in t 2, an acknowledgment signal (ACK) is generated by a DTMF generator in t 3 to inform the LSO that the CPE is ready to receive data after a mute condition for a predetermined period of time has been initiated at the first party CPE by the microprocessor. If an extension telephone is determined to be off hook in t 2, then the prior art systems inhibit generation of an ACK signal back to the LSO, and the data transmission is terminated from the LSO to the CPE. The microprocessor in the first party CPE inhibits any acknowledgement tone generation in t 3 to prevent the local switching office from further transmitting FSK data that could be annoying to other parties on an extension at the first party location that do not have the handset muted on their telephone.

In any case, after the expiration of a predetermined period of time at the LSO, the second party mute condition is terminated as shown in M5. The first party mute condition also must continue for the predetermined period of time at the first party CPE and then is terminated as shown in M6. Then, once both the first and second party predetermined period of time for the mute condition has elapsed, conversation can continue between the first and second party as before the call waiting tone was received. Typically, the expiration of the predetermined period of time for the first party and the second party occurs sometime after the longest time allowed for data transmission shown in t 7 and depicted in M5 and M6.

1

2       If no extension telephone is off-hook, then the apparatus sends an  
3 acknowledgement tone back to the LSO as shown in t 3 which is  
4 typically 50-100 ms in duration. This is sent after a period of time has  
5 elapsed in t 2 and is sent during a very quiet period of time since both  
6 the first and second party have been muted.

7

8       Upon receipt of the acknowledgment tone from the CPE, the LSO  
9 sends logical 1 data during t 5 to condition an FSK demodulator to  
10 receive the DN (caller identifying data) of the third party to the CPE, as  
11 then received in t 6 and t 7.

12

13       In t 6 and t 7, the apparatus receives the DN data, which is  
14 translated into digital bit stream data and sent to a microprocessor in the  
15 CPE, which translates the data into information that can be viewed on a  
16 screen. Then the first party can know the identity or other information  
17 of the third party and decide whether to take the call. Finally, in t 8,  
18 after the expiration of a predetermined period of time at the LSO and at  
19 the first party CPE, the mute condition is terminated for the first and  
20 second parties and conversation can be resumed.

21

22       Discussion will now address various embodiments according to the  
23 invention as represented in M1, M2, M3, and M4. Other adaptations  
24 according to the invention are not specifically shown for sake of brevity  
25 but it should be recognized that they could be implemented in keeping  
26 with the spirit of the invention herein.

27

28       When characterized as a method, the present invention is directed

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1 to muting the handset in a CPE in a manner that minimizes the period of  
2 time that a first and a second party cannot communicate. The method  
3 comprises a number of steps.

4

5 First, in response to a calling signal from a third party as in t 0, a  
6 local switching office sends a call waiting signal to a first party CPE as  
7 shown in t 1, when the first party is engaged in conversation with a  
8 second party. At this point, utilizing a conventional call waiting system,  
9 the second party mute condition could be initiated by the LSO as is  
10 conventionally done, before the generation of the call waiting tone to the  
11 first party, although it is recognized that it could occur at some other  
12 time up to the occurrence of data transmission at t 5 to facilitate full use  
13 of the invention herein. The inventive methods described herein could be  
14 utilized and coexist in a conventional call waiting system transparent to  
15 the end user if desirable. Or, the inventive methods described herein  
16 could also be utilized in a modified, non-conventional call waiting system  
17 that would minimize the total muted time between a first and second  
18 party.

19

20 In response to receipt of the call waiting tone at the first party  
21 CPE, a microprocessor generates an ACK signal back to the switching  
22 office as shown in t 3. Various higher or lower signaling frequencies  
23 within the voice band may be used instead of DTMF that could be heard  
24 briefly by the first party without deleterious affect because of the short  
25 duration required to affect signaling. In addition, the ACK signal could  
26 be generated immediately upon detection of the call waiting tone to  
27 minimize the total time required to establish communication between the  
28 LSO and the CPE. Effectively, it is feasible that time period t 2 would

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1 not be required in some implementations and that the first party would  
2 hear both the call waiting signal and the ACK signal as one signal  
3 because of the generation timing.

4       Upon receipt at the switching office of the acknowledgment signal  
5 generated by the CPE, the switching office transmits data back to the  
6 called party CPE as in t 5 that is conventionally logical 1 data and  
7 additional FSK (Frequency Shift Keyed) data that is representative of the  
8 identity of the third party as in t 6 and t 7.

9       Shown in M1 is a timing diagram according to one embodiment of  
10 the invention in which the CPE microprocessor initiates a mute condition  
11 of its' handset simultaneous or during the generation of an ACK signal  
12 back to the LSO. In this embodiment, the CPE is then ready to receive  
13 data when the LSO transmits data in response to receiving the ACK  
14 signal, as shown in t 5. In this case, the first party will usually not hear  
15 the ACK signal as the handset is muted upon generation of , or  
16 immediately after initiation of, the ACK signal by a ACK signal or DTMF  
17 signal generator.

18       The microprocessor in the first party CPE can initiate a  
19 predetermined time period to continue the mute condition as measured  
20 against the termination of the call waiting signal at the end of t 1, the  
21 initiation or termination of the ACK signal (the beginning or end of t 3  
22 respectively), or the receipt of the first bit of data in the DN caller  
23 identifying data received as in t 6 or by some other known time  
24 reference. Alternatively, the microprocessor in the first party CPE may  
25 terminate the muted condition as a result of receiving a stop bit or signal  
26 word at the end of t 6 or t 7, and/or after a carrier signal has not been

1 detected for more than a predetermined period of time. This has  
2 significant advantages over the prior art in that the mute condition only  
3 need occur in the CPE for so long as data transmission is actually  
4 occurring, thereby allowing the first party and the second party to  
5 resume conversation as soon as possible. In t 9 is shown an optional end  
6 of message signal that may be generated by the DTMF generator under  
7 control of the microprocessor in the CPE. This end of message signal is  
8 generated after the microprocessor has determined that no more data is  
9 being received in an automatic fashion from the FSK decoder and may be  
10 received by the LSO to indicate successful reception of the DN data.  
11 Alternatively, the end of message signal can be manually initiated by a  
12 key selection by the first party. The LSO can then terminate the second  
13 party mute condition immediately upon receipt of the end of message  
14 signal rather than wait for a predetermined period of time to occur. As  
15 an alternative use of this information, the LSO may transmit back to the  
16 third party an acknowledgment signal or message that the called party  
17 has received a call waiting notification and wishes to speak with them  
18 shortly after terminating the current conversation with the second party.  
19 The third party can then know to remain on the line without the first  
20 party having to speak to them.

21 Shown in M2 is a timing diagram according to another  
22 embodiment of the invention in which the CPE has initiated a mute  
23 condition in response to the microprocessor determining that the ACK  
24 signal generation has been completed. In this embodiment, the first  
25 party hears the entire ACK signal prior to the initiation of a mute  
26 condition. As discussed previously, the second party may or may not be  
27 muted at this time, depending upon the particular determination made at

1 the LSO. For example, the LSO may elect to only initiate the mute  
2 condition after successfully receiving an ACK signal from the first party  
3 CPE. In one embodiment envisioned, the LSO could generate two  
4 different and distinctive tones to alert a call waiting condition. The first  
5 party CPE could receive a conventional call waiting tone that would  
6 cause a suitable ACK signal to be generated. The second party, not yet  
7 muted by the LSO, could receive a second different tone from the LSO  
8 that would not cause their CPE to initiate an ACK signal but would still  
9 alert the second party that a call waiting condition was occurring and  
10 that they would be muted by the LSO for a short period of time. This  
11 could also occur simultaneous with the initiation of a mute condition.

12 Time period M3 shows another embodiment according to the  
13 invention herein in which the CPE of the first party utilizes a carrier signal  
14 detection circuit to detect the presence of data transmission from the  
15 LSO during time period t5 and then initiates a mute condition. It is  
16 realized that the period of time to detect a carrier signal is approximately  
17 25 - 50 ms before the mute condition would be initiated for the first  
18 party. After the microprocessor has been notified by a suitable carrier  
19 signal detector, such as the Motorola Model MC 145447 or other  
20 suitable FSK and carrier signal detectors / decoders, that the presence of  
21 carrier signal has been detected, a mute condition can be initiated in the  
22 first party CPE handset. The mute condition would be initiated with the  
23 detection of a carrier signal as in t 5, and then terminated after the  
24 expiration of a predetermined period of time.

25 Time period M4 shows yet another embodiment according to the  
26 invention herein. In this embodiment, the mute condition is initiated at  
27 the end of the carrier signal immediately preceding the start of the data

1 portion of the FSK transmission from the LSO to the CPE. As previously  
2 described, the termination of the mute condition could be dynamically  
3 adjusted to the length of the message received, could be responsive to  
4 receipt of a particular stop bit or stop signal word, or could be in  
5 response to a predetermined time period.

6 Time period t 6 data transmission is comprised of a ten digit  
7 telephone number and typically lasts for approximately less than 1  
8 second in a 1200 baud system with 8 bit encoding although it is  
9 recognized that this transmission time could be reduced with higher  
10 modem speeds or different data encoding schemes. Time period t 7  
11 allows for other data including name, time and date data and can be  
12 variable in length, depending upon the identity of the third party as sent  
13 by the LSO. For this reason, it is most efficient for the termination of the  
14 mute condition in the first party CPE to occur in response to the end of  
15 data transmission rather than according to a static predetermined period  
16 of time.

17 It is recognized that other data transmission protocol could be  
18 utilized instead of FSK signaling without departing from the spirit of the  
19 invention. Assuming FSK data transmission, an FSK decoder receives  
20 the FSK signals and outputs the caller information in digital logic voltage  
21 levels to a microprocessor in the CPE. The handset is muted in response  
22 to receipt of the FSK data so that the called party will not be annoyed by  
23 audible sounds created by the FSK signaling. The muting of the handset  
24 is initiated by the microprocessor by employing several different methods  
25 as previously described.

26 Time period t 8 can allow for the circuits at both ends to prepare



1 to resume normal operation and in time period t 9, an optional end of  
2 data signal can be generated by a DTMF or other signal generator to  
3 notify the LSO that the data was successfully received by the CPE. The  
4 LSO may optionally also use this end of data signal received from the  
5 CPE to terminate the mute condition of the second party, rather than  
6 relying on a static predetermined period of time as is conventionally  
7 done.

8 The operation of the circuit and invention herein will now be  
9 explained using Figure 2b in conjunction with Figure 1. It will be  
10 assumed that a first party using the CPE shown in Figure 1 is conversing  
11 with a second party via the LSO and that a third party is attempting to  
12 reach the first party.

13 In block 101, the local switching office (LSO) sends a call waiting  
14 tone, after receiving a call request from a third party, to a CPE of a first  
15 party engaged in conversation with a second party. As described  
16 above, this call waiting tone is preferably a dual-tone comprised of a  
17 2130 Hz and a 2750 Hz signal but could be comprised of any other  
18 signal that could be reliably detected in the presence of voice and used  
19 in a conventional call waiting system.

20 In block 102, in response to receiving a call-waiting tone by dual  
21 tone-detector 27, the CPE checks to see if there is an extension  
22 telephone off-hook using parallel set detector 26 or hookswitch detector  
23 or connection 33. If there is an extension telephone off-hook as in block  
24 103, microprocessor 20 prevents the generation of an ACK signal by not  
25 using DTMF generator 21. As a result of not receiving the ACK signal at  
26 the LSO, the DN of the third party is not sent to the CPE and the second

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1 party mute condition expires at the LSO. Then the first and the second  
2 party conversation is enabled as before receipt of the call waiting signal.

3

4 If there is no extension telephone in an off-hook condition as  
5 detected by parallel set detector 26 or hookswitch detector 33, then the  
6 CPE sends an acknowledgement tone (typically DTMF) back to the LSO  
7 as in block 104 using microprocessor 20 and DTMF generator 21 to  
8 notify the LSO that the CPE is ready to receive.

9 After the transmission of the ACK signal by DTMF generator 21,  
10 the microprocessor 20 also readies FSK demodulator 22 to receive data  
11 and waits for receipt of a carrier detect signal as indicated in connection  
12 31 between FSK Demodulator 22 and microprocessor 20. After  
13 detection by a carrier detection circuit of a long enough mark or space in  
14 the data transmission, the microprocessor 20 can then proceed to block  
15 105. It should be recognized that data path 31 is shown between FSK  
16 Demodulator 22 and microprocessor 20 to logically distinguish the  
17 detection of a carrier signal from the actual caller identifying data  
18 presented on data path 32. The carrier detection could be performed by  
19 the FSK Demodulator 22 and transmitted to the microprocessor 20 via a  
20 separate data path 31, or could be performed using the FSK Demodulator  
21 33 and transmitted to the microprocessor 20 via the same data path 32  
22 as utilized for the transmission of other caller identifying data. It is  
23 further anticipated that FSK Demodulator or microprocessor could  
24 employ a comparing means such as seen in comparator 29 or via an  
25 integral comparing means (not shown) to compare a presorted start  
26 signal word with the initial data received from the LSO. Unique data such  
27 as logical 1 or logical 0 that was received in the preamble of the FSK

1 data transmission for longer than a predetermined period of time could  
2 cause a carrier detect signal to be transmitted via data path 31 to the  
3 microprocessor 20. In the case of an occurrence of a coincidence  
4 between the header data received from the LSO and the prestored data  
5 or parameters or logical mark or space for more than a predetermined  
6 period of time, the microprocessor would initiate a mute condition.

7 In any case, after determining carrier detection or a particular start  
8 signal bit or word or other data coincidence detection, in block 105 is  
9 shown that the microprocessor 20 then initiates a mute condition by  
10 opening relays 17 and 18 connected to transmitter 15 and receiver 16  
11 respectively utilizing the connection labeled "MUTE". This mute  
12 condition occurs in response to the detection of a carrier signal or other  
13 conditions as described earlier in data path 31 and before the DN data  
14 transmission has occurred.

15 After the mute condition has been created by the microprocessor  
16 20 in response to a carrier or start signal detection, the FSK Demodulator  
17 22 then receives additional data from the LSO over the telephone line  
18 which contains information corresponding to the DN of the third party.  
19 Typically this data is formatted using frequency shift keying although it is  
20 recognized that other data transmission protocol could be used without  
21 departing from the spirit of the invention. Other data types would  
22 require a suitable decoder unit to decode and transmit information to the  
23 microprocessor.

24 As is done conventionally, the FSK data is decoded by FSK  
25 Demodulator 22 and converted as a digital bit stream via data path 32 to  
26 microprocessor 20 as in block 107. Microprocessor 20 then translates

1 that data into information that is transferred to register 24 and displayed  
2 on display 23 as in block 108. The subscriber is then in a position to  
3 decide whether or not to accept the waiting call based on the identity of  
4 the caller. Acceptance of the waiting call is accomplished in the  
5 conventional manner with depression of a flash button on the CPE  
6 whereas rejection of the waiting call is accomplished by ignoring it.  
7 Then in block 109, the handset 14 in the CPE device is unmuted by  
8 microprocessor 20 by restoring switch contacts 17 and 18 to a closed  
9 condition and the first party may resume conversation. This may occur  
10 in several different ways. In one approach, the microprocessor starts a  
11 timer means starting as measured against generation of the  
12 acknowledgement signal or receipt of data from the LSO. In another  
13 approach, the FSK signal demodulator 22 responds to the absence of  
14 carrier signal data for more than a predetermined period of time and then  
15 notifies microprocessor 20 that there is no more data being received. In  
16 a third approach, the FSK Demodulator 22 receives a specific logical data  
17 mark or pause or stop bit which is demodulated and transmitted to the  
18 microprocessor.

19 In any case above, after the occurrence of the expiration of  
20 predetermined period of time from a known event, lack of detection of  
21 carrier signal from the LSO and telephone line for more than a  
22 predetermined period of time by the FSK Demodulator 22 and  
23 microprocessor 20, or receipt of a specific stop bit or word or pause, the  
24 handset 14 in the CPE is restored to an unmuted condition and  
25 conversation can occur between the first and second parties in a more  
26 efficient manner.

27 This acknowledgment signal may or may not be heard by the first

1 party, depending upon when the CPE initiates a mute condition. The  
2 DTMF acknowledgement tone will comport with the frequency and  
3 duration required by the specific call waiting system and may  
4 immediately follow the call waiting tone received by the LSO.

5 In conventional call waiting systems, the second party cannot hear  
6 either the call waiting signal or the acknowledgment signal for a  
7 predetermined period of time, although the first party may still hear the  
8 acknowledgment signal. In modified call waiting systems, conversation  
9 may still occur between the first and second parties over this  
10 acknowledgment signal when the second party has not yet been muted  
11 from the switching office. Furthermore, as mentioned earlier, the second  
12 party apparatus may be responsive to the ACK signal and initiate a mute  
13 condition.

14 In block 105 is shown how the CPE mutes its' associated handset  
15 in response to the determination that the ACK signal has been sent. In  
16 this case, where the first party handset is not yet muted, the call waiting  
17 tone will sound like a slightly longer call waiting tone than usual (perhaps  
18 no more than 50 ms longer). The call waiting tone received from the  
19 LSO is immediately followed by the ACK tone generated by the CPE,  
20 both which are heard by the first party in this case.

21 In an alternative embodiment, the CPE may mute the handset  
22 simultaneous with, and caused by, the generation of an ACK tone to be  
23 sent to the LSO. This embodiment may be implemented by  
24 microprocessor control in the CPE when it is desirable for the first party  
25 to not hear the ACK tone transmission. Equipment manufacturers will  
26 find this option as an additional feature that may be desirable to make

1 the operation and user interface more consistent with conventional call  
2 waiting telephone sets that do not allow the first party to hear the ACK  
3 tone. This feature has the added advantage of minimizing the period of  
4 time the mute condition occurs in conventional call waiting systems in a  
5 manner that is totally transparent to the first and second parties and  
6 consistent with the interface of other competing equipment.

7 In block 104, the CPE generates an ACK tone back to the LSO  
8 after a determination is made that no extension telephone is off-hook,  
9 and then in block 105 the CPE mutes its' associated handset after the  
10 ACK tone has been generated. In this case, the mute condition is  
11 caused prior to receipt of the DN data from the LSO.

12 Alternatively, the handset is muted at the CPE while an ACK tone  
13 is generated back to the LSO in block 104 at substantially the same time,  
14 and the CPE is made ready to receive DN data from the LSO.

15 In block 106, the LSO sends the DN (caller identification) data of  
16 the third party utilizing FSK data as is known in the art. In block 107,  
17 the CPE receives the DN data after the mute condition has been initiated,  
18 stores and displays the DN data received for the first party, as in block  
19 108, and terminates the mute condition as in block 109.

20 The mute condition may be terminated in response to receipt by  
21 the CPE of an end of data flag contained in the FSK data transmission.  
22 Alternatively, the mute condition may elapse after the expiration of a  
23 predetermined period of time as measured from when the ACK signal  
24 was first sent or when the mute condition was first initiated. In another  
25 approach, the mute condition may be terminated by the detection of the  
26 absence of a carrier signal from the LSO to the CPE that lasts for more

1    than a predetermined period of time.

2           After the handset is returned to an unmuted condition of operation  
3    in block 109, the first party may resume conversation with the second  
4    party after the time period for the second party mute condition has  
5    expired at the LSO. Typically the LSO initiates the mute condition for a  
6    predetermined period of time when the call waiting signal is sent to the  
7    first party, after which the second party is then returned to a non-muted  
8    condition.

9           An additional function not shown may be alternatively  
10   implemented in which the CPE can generate an end of data signal for  
11   transmission back to the LSO at the end of receipt of the DN data. This  
12   data signal generation may be received by the LSO and used to  
13   terminate the mute condition of the second party immediately at the end  
14   of transmission of the DN data.

15           This could be a useful feature in that the second party could be  
16   more readily returned to communication with the first party, rather than  
17   having to wait for a predetermined period of time to elapse as  
18   determined by the LSO. This would enable the first and second party to  
19   resume communication more readily than is currently permitted. In the  
20   case where the DN data was of variable length, the first and second  
21   parties would only be interrupted by a mute condition for the amount of  
22   time necessary to transmit the DN data in the improvement discussed  
23   without having to wait for the expiration of a predetermined, non-variable  
24   length of time to elapse at the LSO.

25           In one approach, the microprocessor in the CPE causes the  
26   handset to mute in response to the elapse of a predetermined period of

1 time after the acknowledgement signal is sent by the CPE to the  
2 switching office. A time counter means within the microprocessor  
3 initiates a mute condition within a predetermined period of time after the  
4 generation of an acknowledgement signal, and then terminates the mute  
5 condition of the handset after expiration of the predetermined period of  
6 time. This time period can be adjustable according to the signaling  
7 protocol employed, the speed of data transmission, and the type of data  
8 being transmitted from the switching office.

9 In another approach, the microprocessor in the CPE causes the  
10 handset to mute in response to the receipt of a start bit or start message  
11 word contained within the FSK data transmission from the switching  
12 office. Upon detection of the start bit or start message word, the  
13 microprocessor causes a mute condition in the handset of the CPE while  
14 FSK data transmission occurs from the switching office. Additionally,  
15 the microprocessor may employ a timer means that will continue the  
16 mute condition for a predetermined period of time, and then will cause or  
17 allow the handset to return to a non-muted condition. Alternatively, the  
18 microprocessor can hold the muted condition until such time as a stop bit  
19 or stop message word is received in the FSK data transmission.

20 In another approach, the microprocessor in the CPE can employ a  
21 carrier detection circuit which senses the presence of a data transmission  
22 signal from the switching office to terminate the mute condition shown in  
23 block 109. The carrier detection circuit can be used to initiate a mute  
24 condition upon the sensing of the presence of data from the LSO to the  
25 CPE, and can then be used to terminate the mute condition for the  
26 handset of the CPE after a predetermined period of time beyond which  
27 no data transmission has been detected.



1           After the carrier detection circuit determines that no other data is  
2 being transmitted to the CPE from the LSO, the microprocessor returns  
3 the handset to a non-muted condition and the first and second party are  
4 allowed to resume conversation. Alternatively, a timer means can also  
5 be started upon the initiation of the mute condition by the carrier  
6 detection circuit which causes the mute condition to be terminated after  
7 the elapse of a predetermined period of time.

8  
9           It is further contemplated that non-audible signaling could be  
10 employed by the switching office to initiate the call waiting notification  
11 to the CPE. In response to detection by the CPE of the non-audible  
12 signal from the switching office, an audible tone could be generated by  
13 the CPE that would serve both as an acknowledgment tone back to the  
14 switching office, and as the first audible notification to the first and  
15 second parties that a third caller was attempting communication. In the  
16 mean time, conversation between the first and the second party would  
17 be uninterrupted during the time period when a call waiting tone would  
18 be ordinarily heard. Alternatively, an out-of-band call-waiting signal from  
19 the switching office or an out-of-band acknowledgment signal generated  
20 by the CPE could also trigger a display message such as "Incoming Call"  
21 or could initiate a flashing light or displayable icon within the CPE. This  
22 would be beneficial in that the first party would be alerted to a call-  
23 waiting condition without the second party being aware of another party  
24 attempting communication.

25           Shown in Figure 2c is another embodiment according to the  
26 invention. First, in block 201, the LSO sends a call waiting signal to the  
27 first party CPE. Further, the second party speech path is muted from the

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1 second party and the first party by the LSO to prevent the second party  
2 from hearing any data transmission from the LSO to the first party that  
3 may occur. In block 202, a determination is made as to whether or not  
4 an extension telephone is off-hook. If an extension telephone is off-  
5 hook, then in 203 an ACK signal is not generated, and as a result of not  
6 receiving the ACK signal, the LSO prevents the DN information from  
7 being transmitted to the first party CPE and the second party mute  
8 condition expires or is terminated at the LSO. Then the first and second  
9 party may resume conversation as before the call waiting signal.

10 If an extension telephone is not off-hook as determined in block  
11 202, then in block 204 the CPE sends a suitable ACK tone for receipt at  
12 the LSO. In block 205, after receiving the ACK tone from the CPE, the  
13 LSO sends FSK data that includes the DN of the third party. In block  
14 206, the CPE employs a carrier detection circuit to sense the presence of  
15 data being transmitted from the LSO to the CPE.

16 In this embodiment, no particular data signal is detected but  
17 rather, any carrier data transmission from the LSO as detected by the  
18 carrier detection circuit in the CPE will cause a mute condition at the first  
19 party CPE to occur before receipt of the remaining data transmission.  
20 With the mute condition being established, the CPE is then ready to  
21 receive the remaining data, which includes DN data.

22 In block 208, the CPE receives the DN data, stores and displays  
23 the data in block 209, and terminates a mute condition in 210 as  
24 previously described.

25 Alternatively, the method may employ an implementation not  
26 shown in which an end of message or message received signal may be

1 generated back to the LSO as also previously described to eliminate an  
2 unnecessarily long muted condition for the second party and to alert the  
3 third party that the message has been received by the first party. As  
4 previously described, this could occur automatically under the control of  
5 a microprocessor, or by manual selection by the first party by a key  
6 selection at the CPE.

7 In Figure 2d is shown another alternative embodiment according to  
8 the invention. In block 301, the LSO sends a call waiting tone to the  
9 CPE of the first party. Upon receipt of the call waiting tone, the CPE  
10 checks to see if an extension telephone is in an off-hook condition, as in  
11 block 302. If an off-hook condition is detected, then an  
12 acknowledgment tone is not sent by CPE to the LSO, and the operations  
13 previously described in Figure 2c then occur.

14 Alternatively is shown in block 316 where in response to detection  
15 that an extension telephone is off-hook, a different signal, referred to as  
16 an "unmute" signal may be sent to the LSO that will terminate the  
17 second party mute condition and will immediately allow the second party  
18 to resume conversation with the first party. It is recognized that although  
19 this feature may be useful, it is not necessary or fundamental to the  
20 invention herein.

21 In the case where an extension telephone is not off-hook as  
22 determined in block 302, the CPE sends an ACK signal to the LSO in  
23 block 304. Then in block 305, in response to receiving the ACK signal  
24 sent in block 304, the LSO sends an FSK signal containing DN data to  
25 the CPE. Then, a carrier detection circuit is employed by the CPE to  
26 sense the presence of a data transmission from the LSO in block 306,

1 and the CPE initiates a mute condition in block 307. Then the data  
2 transmission continues in block 308 where the CPE receives the DN data  
3 of the third party. In block 309, the CPE stores the DN data received.

4 In an alternative embodiment, the CPE receives data from the LSO  
5 in 305, and detects a specific data bit, signal, or word which is  
6 contained in the FSK transmission that precedes the DN information, in  
7 block 306, and then initiates a mute condition in block 307. Then in  
8 block 308 the DN data is received and then stored as in block 309.

9 In any case after block 307 with the initiation of the mute  
10 condition, the CPE either automatically, or in response to a first party  
11 selection, compares the DN data received with other data previously  
12 stored using a comparator and memory in the CPE as in block 310, and  
13 at least one of either the DN data received or the prestored matching  
14 data is displayed. The CPE may terminate a mute condition in 312 either  
15 before or after the DN data has been applied to a prestored directory and  
16 displayed. Reference is made to U.S. Patent 4,924,496 issued to Figa et  
17 al. for further discussion related to this feature. It is anticipated that the  
18 comparison feature will be widely popular for so called "screen pop"  
19 applications for personal computer based telephony in the future.

20 In Figure 2e is shown another embodiment in which DN data  
21 received may be at least one of displayed and announced to the first  
22 party before termination of a mute condition from the second party.  
23 Alternatively, data received may be displayed to a first party, and  
24 selectively announced to both the first and second party, as determined  
25 by the first party preference. In another alternative embodiment, the  
26 data received may be announced to both the first and second party after

1 the termination of the mute condition, which may be of benefit to first  
2 parties who wish for the second party to know the identity of the third  
3 party to determine if a conference call should be initiated, or for other  
4 purposes. This embodiment is uniquely adapted to the needs of visually  
5 handicapped persons. The CPE may terminate the mute condition of its'  
6 handset at any time after receipt of the DN data in any of the  
7 embodiments described herein.

8 In block 410, after receipt of the DN data, the CPE may employ a  
9 text-to-speech processor to textual data received to annunciate the data  
10 in a human recognizable form to at least one of the first and second  
11 parties, either immediately, or at some later time selected by the first  
12 party. Directory numbers and/or names received as logic signals in a  
13 microprocessor from an FSK demodulator are processed by a speech  
14 generator that may be integral to the microprocessor, or may be separate  
15 discrete circuitry attached to the microprocessor and may be converted  
16 into audible speech signals. Further, it is anticipated that this  
17 information could be remotely retrieved by a first party that was not  
18 present and was calling in for messages.

19 The data could be applied to a comparator as previously described  
20 in block 410 and prestored sound data could be generated, or associated  
21 other data could also be applied to a text-to-speech processor. As in  
22 Figure 2d, the CPE could terminate the mute condition in Figure 2e as  
23 shown in block 411 before or after the DN or other data was either one  
24 of displayed or announced.

25 Further adaptations could be implemented according to the  
26 invention herein. For example, the various embodiments and apparatus

1 described hereinbefore could include a means for determining that after a  
2 predetermined period of time that a call waiting signal was received, if no  
3 further data was detected from the local switching office by the first  
4 party apparatus (CPE), a mute condition would be terminated at the first  
5 party apparatus responsive to the expiration of the predetermined period  
6 of time.

7 This is particularly adapted to the methods and devices described  
8 earlier in which a mute condition is initiated in response to generation of  
9 an acknowledgment signal, or in the case where the mute condition of  
10 the first party apparatus occurs after the expiration of a predetermined  
11 period of time after receipt of a call waiting signal. Upon determination  
12 that no DN data was being received within a predetermined period of  
13 time as described earlier, the mute condition could be terminated sooner  
14 than waiting for the time period that would ordinarily be used for data  
15 transmission. This would allow a first party and a second party to  
16 communicate more readily than in prior art systems. It may also be  
17 beneficial for a call waiting signal to be only sent to a first party if it was  
18 determined to be flagged as public by the local switching office. In the  
19 case where a DN of a third party was private, depending upon the level  
20 of service desired by the first party subscribing to call-waiting service, no  
21 call-waiting signal would be sent from the LSO to the first party CPE and  
22 a second party mute condition would not be initiated for a second party.

23 In this way, a first party conversing with a second party would only be  
24 interrupted by call-waiting signals and mute conditions when there was  
25 DN data that would be useful for the first party. In effect, it is  
26 anticipated that a new system could be implemented that would  
27 effectively "screen" call waiting signals and mute conditions from

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1 occurring unless there was DN data present.

2 It is further anticipated that upon generation of an  
3 acknowledgment signal by the first party apparatus or CPE, a timer  
4 means could be employed which could start a mute condition in response  
5 to expiration of a predetermined period of time after generation of the  
6 acknowledgment signal.

7 Finally it is anticipated that, in the case where the CPE apparatus  
8 initiates a message received signal back to the LSO after receiving the  
9 caller identifying data of a third party, the LSO could use this information  
10 to terminate a mute condition of a second party more readily to allow for  
11 the first and second party to communicate sooner.

12 Notch Filtering used in one preferred embodiment to filter ACK  
13 signals from the handset or speaker.

14 In Figure 3 is shown a timing diagram illustrating several preferred  
15 embodiments utilizing an approach in which a notch filter is implemented  
16 to exclude a particular frequency or frequencies from being heard at the  
17 handset of a called party CPE or being transmitted via the microphone at  
18 the handset of a called party.

19 Typically, in the prior art approaches, sound output to the CPE  
20 handset earpiece was completely muted in response to receipt of a call  
21 waiting signal ( CAS tone) to initiate a quiescent period for receipt of  
22 caller id data and to insulate the called party from the sound of the ACK  
23 signal generation. In another prior art approach, the sound volume in the  
24 handset earpiece was attenuated to minimize distraction to the called  
25 party due to hearing the ACK signal. In either case, both approaches

1 nearly eliminated the possibility of having any useful communication or  
2 exchange between a called party and a first caller.

3         The first caller is typically muted by the LSO when a call waiting  
4 condition occurs which thereby precludes any communication with the  
5 called party during the call waiting cycle. However, the notch filter  
6 approach described herein could enable the possibility of longer  
7 communication between the called party and the first caller if the timing  
8 of the mute condition imposed by the LSO to the first caller were  
9 modified to occur slightly later than it does currently. By minimizing the  
10 duration of the mute condition created at the LSO for the first party, the  
11 notch filter approach or the other approaches described in this  
12 specification could facilitate longer communications between a called  
13 party and a second party during the call waiting cycle.

14         Certainly in any case, the notch filter approach could be useful in  
15 providing a more efficient manner to insulate the first party from the  
16 annoyance of the sound of the ACK signal generation without any  
17 modification to the current call waiting service or system.

18         The filtering of the ACK signals from the called party CPE handset  
19 in one preferred embodiment is initiated either in response to the  
20 detection of, or at a time relative to the detection of, receipt of a CAS (   
21 call waiting ) tone or signal from the local switching office. In another  
22 preferred embodiment the filtering of the ACK signal is initiated  
23 simultaneous with, at some time after, or at a time relative to, the  
24 checking for an extension on-hook condition by the called party CPE. In  
25 another embodiment the initiation of filtering of the ACK signal from the  
26 called party CPE handset occurs simultaneous with, at some time after,



1 or at a time relative to the generation of the ACK signal. A sample  
2 range of timing alternatives is illustrated in FIGURE 3, as shown in M7 -  
3 M11.

4 More specifically in one embodiment shown in FIGURE 3, at M7  
5 the notch filter is initiated by a microprocessor in the CPE simultaneous  
6 with the first detection of the CAS tone, and shown to continue until the  
7 termination of the ACK signal generation. The signals comprising the  
8 ACK signal are not heard by the first or called party, while all other  
9 audible signals may be heard over the handset earpiece and generated  
10 over the handset microphone, thereby allowing for voice or other  
11 communication to occur until the mute condition is commenced as in  
12 M8. In the depicted example, the mute condition is initiated immediately  
13 after the ACK signal has been generated, or at some time period related  
14 to the termination or initiation of the ACK signal. In this preferred  
15 embodiment, the notch filter is in operation only until the end of the ACK  
16 signal generation.

17 In another embodiment as shown in FIGURE 3, at M9 the notch  
18 filter is initiated by a microprocessor in the CPE simultaneous with the  
19 generation of the ACK signal, and allowed to continue until the first  
20 detection of data from the LSO, at M10 where the mute condition is  
21 initiated at some time prior to receipt of data from the LSO. In yet  
22 another embodiment, the notch filter is initiated and allowed to continue  
23 in parallel with a later occurring mute condition until the expiration of a  
24 predetermined period of time, at a time related to receipt of data from  
25 the LSO, at a time related to the detection of the absence of data from  
26 the LSO, at the same time or at some time related to termination of the  
27 mute condition, at the receipt of a stop bit or in some other manner.

1 In any case, utilizing a notch filter approach, voice  
2 communications may be allowed in the called party CPE between the  
3 first and second party without the interruption of the conversation or  
4 annoyance from the sound of the ACK signal. This may occur because  
5 all other frequencies in the voice range can be heard and transmitted at  
6 the called party CPE during ACK signal generation until the initiation of a  
7 mute condition, with the exception of the frequencies filtered from at  
8 least the handset microphone of the CPE or the handset earpiece that  
9 comprise the ACK signal ( to be transmitted to the LSO ). Although in  
10 current systems the ACK signal is comprised of a DTMF signal, it is  
11 contemplated that such signals requiring filtering could be other voice  
12 band, high band or out of band signals.

13 After initiation of the filtering of the ACK signal described  
14 hereinbefore, the filtering may be terminated or allowed to continue in  
15 parallel with a mute or attenuation condition which has been started.  
16 Incorporated herein by reference are US Patents 5,812,649 Shen et al. ,  
17 US Patent 5,836,009 Diamond et al. US Patent 5,646,979 and US  
18 Patent 5,481,594 Shen et al. which could be modified to incorporate the  
19 filtering circuit and methods taught in this invention. The mute  
20 condition can be initiated simultaneous with and in response to the  
21 termination of the filtering, or in response to a predetermined time  
22 period relative to the generation or termination of the filtering. The mute  
23 condition in the handset then is terminated as described elsewhere in  
24 detail in this patent specification. Likewise, a range of alternatives may  
25 allow for the termination of the filtering circuit operation, such as upon  
26 the elapse of a predetermined period of time, upon receipt of a particular  
27 data bit, upon detection of the presence of a carrier signal, upon

detection of the absence of a carrier signal after it has been detected, simultaneous with or related in time to the termination of the mute condition, or related in time to the receipt of a CAS tone or detection of an extension on-hook condition, in any combination applied to at least one of the handset earpiece or the handset microphone.

A band-reject filter (also called band-elimination, or notch, filter) is one which passes all frequencies except a single band. The amplitude response of such a filter is shown in Figure 7, where the ideal response is that represented by the broken line, and realizable approximation to the ideal is that represented by the solid line. The band of frequencies which is rejected is centered approximately at  $\omega_0$  and its width is  $B$ . The bandwidth  $B$  may be measured by Hz, in which case the center frequency is  $f_0 = \omega_0/2\pi$  Hz. As in the bandpass case, we also define the quantity  $Q$  by  $\omega_0/B$  (or  $f_0/B$  if  $B$  is in Hz). Thus a large  $Q$  indicates a small band rejected, and a small  $Q$  indicates a wide band.

A second-order approximation to an ideal band-reject filter is achieved by the transfer function

$$H(s) = \frac{V_2(s)}{V_1(s)} = \frac{K(s^2 + \omega_0^2)}{s^2 + Bs + \omega_0^2}$$

where  $\omega_0$  is the center frequency in rad/sec and  $B =$  is the width of the band rejected. The gain is defined as the value of  $H(s)$  at either zero or infinity and is seen to be  $K$ .

1 A circuit which realizes this is the band-reject circuit shown in Figure 8,  
2 an analysis of which yields, if  $R_3R_4 = 2R_1R_5$ ,

3 
$$B = \frac{2}{R_4C}$$
  
4 
$$\omega_0^2 = \frac{1}{R_4C^2} \left( \frac{1}{R_1} + \frac{1}{R_2} \right)$$

5 We may obtain a practical realization of the band-reject filter of Figure 8,  
6 for given values of center frequency  $f_0$ ,  $Q$ , and gain.

7 In FIGURE 4 is shown a graphical depiction of how the notch filter  
8 approach provides for exemplary data or voice transmission during the  
9 ACK signal generation. Note that in the Chaput approach, all voice or  
10 data communication is muted from the earpiece during the call waiting  
11 cycle for a predetermined period of time, initiated in response to receipt  
12 of a CAS signal. Note that in the Diamond approach, all voice or data  
13 communication is attenuated from the earpiece during the call waiting  
14 cycle, thereby allowing the called party to have the annoyance of the  
15 ACK signal at the earpiece of the CPE, albeit at a lower audible level than  
16 if not attenuated at all. Advantageously, in my invention, the normal  
17 audible Db level of sound may be heard at the CPE during voice  
18 conversation while the selected portion of the frequency for signal that  
19 corresponds to the ACK signal is not heard. Note however, that during  
20 the initial period of filtering the ACK signal, the output from the  
21 microphone could also be filtered through the notch filter before sending  
22 any signals to the telephone line so as to not allow any corruption of the  
23 ACK signal back to the LSO, although it is contemplated that the  
24 complete voice signal could be allowed to pass through from the  
25 microphone with minimal impact on the receipt by the LSO of the ACK  
26 signal.

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1           Figure 4 is a graph which depicts the frequency domain of voice  
2 band 502 and out of band 502 signals which are on the talk loop of the  
3 telephone apparatus. The Y-axis of Figure 4 represents the amplitude of  
4 the frequency domain component. As is shown, notch filters may be  
5 utilized to remove components in either the voice band 502 or in the out  
6 of band portion 504. As is shown, notches 512, 514, 516 and 518 may  
7 be provided to drop out selected portions of the frequency domain thus  
8 rendering them substantially inaudible to either or both parties involved in  
9 a telephone conference. Figure 4 depicts three alternative solutions.  
10 The first solution is that of *Chaput* (discussed above) in which the entire  
11 voice band 502 and out of band 504 components are muted, so there is  
12 no need to filter or eliminate the acknowledgement signal through the  
13 utilization of notch filters. An alternative approach is depicted by the  
14 graph 508 for *Diamond* discussed above which teaches the continuation  
15 of the voice band 502 portion and out of band portion 504. In the  
16 present invention, the approach of Henderson is depicted by graph 506  
17 in which there is neither muting nor attenuation of the voice band 502 or  
18 out of band 504 portions of the frequency domain. Instead, notches  
19 512, 514, 516, 518 are created at frequencies  $F_1$ ,  $F_2$ ,  $F_3$  and  $F_n$  to  
20 eliminate the frequency components which make up the  
21 acknowledgement signal. In most applications, the acknowledgement  
22 signal is generated through utilization of a DTMF "D" tone which is  
23 comprised of two frequency components. In accordance with the  
24 present invention, one or more notch filters are provided which  
25 substantially render the DTMF components inaudible to the parties  
26 involved in the telephone conversation.

27

1 In FIGURE 5 is shown a block diagram schematic in which notch  
2 filters F1 and F2 are selectively enabled or initiated by a CPU or  
3 microprocessor in a CPE device as described earlier in this specification.  
4 An IC notch filter similar to a circuit employing an LTC1062 in  
5 connection with an LT 1056, a quad op amp circuit, or an integrated  
6 high performance CAS detection chip that may be modified according to  
7 the invention such as the PCC318 CIDCW, the PCC317, or the PCC306  
8 by Pijnenberg Custom Chips, or some other software or hardware  
9 implementation.

10 Figure 5 is a simplified schematic depiction of the present  
11 invention. As is shown, a central processing unit 501 is provided to  
12 control the operation of the telephone apparatus. The telephone  
13 apparatus may be equipped with a manual override switch 505. It is also  
14 equipped with an electrically actuable switch 507 which serves to switch  
15 notch filters 511, 513 into and out of the voice path. A CPU also  
16 electrically controls switch 515 which is also utilized to switch notch  
17 filters 511, 513 into and out of the voice path. As is shown, the voice  
18 and acknowledgement signal 509 are supplied as an input to switch 507.  
19 CPU 501 determines the closure state of switch 507. In one closure  
20 condition, notch filters 511, 513 are completely bypassed, and the voice  
21 and acknowledgement signal 509 are passed through switch 515 to the  
22 handset 517. When CPU 501 is utilized to switch 507 to another  
23 closure condition, notch filter 511 and notch filter 513 are included in  
24 the voice path. At the same time, CPU 501 actuates switch 515 in order  
25 to switch notch filters 511, 513 into the voice path. Notch filter 511 is  
26 adapted to filter out frequency components at frequency  $F_1$  which is one  
27 component of a two-tone DTMF "D" signal. Notch filter 513 is adapted

1 to filter out frequency F<sub>2</sub> which corresponds to the other of the tones of  
2 a two-tone DTMF "D" signal. The voice acknowledgement signal 509  
3 may be passed serially through notch filters 511, 513. The filtered voice  
4 and acknowledgement signal 509 is passed through switch 515 to  
5 handset 517. The filter may be applied to audio output generated by  
6 earpiece 519 and/or to the audio input supplied by microphone 521. In  
7 Figure 5, CPU 501 is additionally shown as being connected to display  
8 503 which may be utilized to display caller identification information.

9 In FIGURE 6 is shown a flow chart showing one preferred  
10 embodiment operation. It is important to note that in some  
11 embodiments, it is not necessary to mute, but rather, an attenuation  
12 circuit could be employed. In other words, attenuation could occur  
13 during the receipt of data from the LSO or immediately after, at some  
14 time relative to, or simultaneous with the generation of the ACK signal  
15 and/or initiation of the notch filter.

16 With reference now again to Figure 6, the process begins at block  
17 601 and continues at block 603, wherein the telephone apparatus  
18 receives an incoming CAS signal. Next, in accordance with step 605,  
19 the CPU 501 examines the condition of extensions, in order to determine  
20 whether or not extensions to the telephone apparatus are in an off-hook  
21 condition. If the CPU determines that the extensions are indeed in an off-  
22 hook condition, the process ends at block 607. However, if the CPU  
23 determines that no extensions are off-hook in step 605, an  
24 acknowledgement signal is generated in accordance with block 613.  
25 Substantially concurrently with the generation of the acknowledgement  
26 signal, and in accordance with block 609, CPU initiates the handset filter  
27 by switching the DTMF filters into the earpiece circuit or the adjunct tip

1 and ring RJ 11. Next, in accordance with block 611, the two notch  
2 filters utilized to eliminate the DTMF "D" signals  $F_1$  and  $F_2$ . Then, in  
3 accordance with block 615, the handset filter is maintained in an on  
4 condition until the end of the acknowledgement signal. Next, control  
5 passes to block 611, wherein the microphone is muted. Simultaneously,  
6 in accordance with block 619, the earpiece is muted. During this mute  
7 condition, the telephone apparatus receives the CID data in accordance  
8 with block 221. Then, in accordance with some predetermined  
9 condition, in accordance with block 623 the mute condition ends. The  
10 logic represented by blocks 617, 619, 621, 623 may be performed in  
11 any one of a number of alternative manners. It may be performed in  
12 accordance with the present invention (the "Henderson" method) or in  
13 accordance with the prior art method of *Diamond* or *Chaput*. In  
14 accordance with the present invention, the acknowledgement signal and  
15 the CID information are generated in accordance with conventional  
16 Bellcore Specification. The present invention differs from the prior art in  
17 that it utilizes one or more notch filters in order to eliminate the  
18 frequency components associated with the acknowledgement signal. In  
19 current implementations, acknowledgement signals are generated  
20 through the utilization of a DTMF "D" tone which is composed of two  
21 frequency components  $F_1$  and  $F_2$ . Only these frequency components are  
22 eliminated from the earpiece, voice band, high band, or out of band. In  
23 other words, the notch filtering is provided which closely matches the  
24 frequency components of the acknowledgement signal, whatever that  
25 signal may be. In accordance with the present invention, except for the  
26 voice components which are at the frequencies  $F_1$  and  $F_2$  the  
27 conversation continues as is normal. It is not likely that participants in  
28 the conversation will notice any substantial degradation in the



1 conversation due to the absence of two tiny frequency components  $F_1$   
2 and  $F_2$  from the voice band portion of the talk loop. In accordance with  
3 the present invention, any one of a number of alternative approaches  
4 may be utilized to mute or attenuate the data signal which is provided to  
5 the telephone apparatus in order to communicate the caller identification  
6 information (CID).

7 The foregoing has described the principles and preferred  
8 embodiments of the present invention. However, the invention should  
9 not be construed as being limited to the particular embodiments  
10 described. For example, different devices can be used from the  
11 controllers, microprocessors, and tone generators described. Further,  
12 some functions can be combined in a custom digital signal processing  
13 chip. Thus, the above-described embodiments should be regarded as  
14 illustrative rather than restrictive. Variations can be made to those  
15 embodiments by workers skilled in the art without departing from the  
16 scope of the present invention as defined by the following claims:

17

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